

Patent No. 6,979,602
Application No. 10/730,755

PATENT
Atty Docket No. C016-D1

IN THE UNITED STATES PATENT OFFICE

2010 JUL 26 PM 4:15

Applicants: Andrew Horch et al.

Assignee: T-RAM Semiconductor, Inc. (formerly T-RAM, Inc.)

Title: Method for making a recessed thyristor control port

Patent No.: 6,979,602

Issue Date: 12/27/2005

App. No.: 10/730,755

Filing Date: 12/08/2003

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OFFICE OF PETITIONS

Director of the United States Patent and Trademark Office
Attn: Maintenance Fee
2051 Jamieson Avenue, Suite 300
Alexandria, VA 22314

TRANSMITTAL LETTER

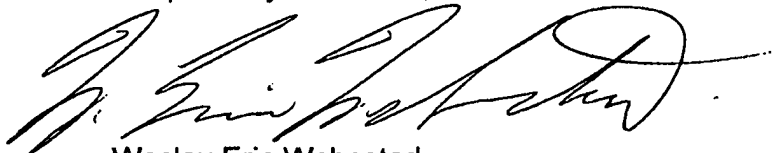
Dear Sir:

In connection with the above-referenced matter, transmitted herewith are the following:

1. Statement of Loss of Status as Small Entity and Payment of Deficiency of Maintenance Fees Under 37 C.F.R. §1.28(c).
2. Check No. 744 for \$620 for payment of the deficiency and associated surcharge.

Please date-stamp the enclosed post card and return same to the undersigned in acknowledgement of receipt of all transmitted materials.

Respectfully submitted,



Wesley Eric Webostad
Attorney for Assignee
Reg. No. 35,406

July 22, 2010
Date

I hereby certify that this correspondence is being filed via U.S. Mail, First Class, with the United States Patent and Trademark Office on 7/22/2010

By: 

Fall Ferguson

07/30/2010 DALLEN 00000036 6979602

01 FC:1559

620.00 OP

Adjustment date: 10/06/2010 CKHLOK

07/19/2009 INMTFEE 00005214 502538 6979602

07/30/2010 DALLEN 00000036 6979602

01 FC:1559

-620.00 OP

Adjustment date: 10/06/2010 CKHLOK

07/19/2009 INMTFEE 00005214 502538 6979602

01 FC:2551

10/06/2010 CKHLOK 00000002 504473 6979602

01 FC:1551

360.00 DA

620.00 OP

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STATEMENT OF LOSS OF STATUS AS SMALL ENTITY AND PAYMENT OF
DEFICIENCY IN MAINTENANCE FEES UNDER 37 C.F.R. §1.28(c)

Dear Sir:

The maintenance fee for the above-captioned patent ("Patent") was paid claiming "small entity" status on March 18, 2009. However, T-RAM Semiconductor, Inc. (formerly T-RAM, Inc.) ("Assignee"), the assignee of the Patent, is a party to a Joint Development Agreement ("JDA") having an effective date of February 26, 2009 that includes a license provision for work to be performed under the JDA by a large entity. Accordingly, it is believed that this license provision changed the status of Assignee to that of a "large entity" with respect to this Patent.

The undersigned hereby asserts that the payment of fees as a small entity was made in good faith. Having now become aware of the change in status of Assignee, Assignee seeks to remedy this inadvertence by paying the deficiency, namely the balance of the fees due as set forth herein, in order to have this error in claiming small entity status excused.

Pursuant to 37 C.F.R. §1.28(c)(2)(ii), an itemization of the deficiency payment is provided in the following table:

Patent No. 6,979,602
Application No. 10/730,755

PATENT
Atty Docket No.: C016-D1

| Type of Fee Paid | Maintenance Fee – 3.5 Year |
|--|----------------------------|
| Large Entity Fee (Fee Code 1551) | \$980 |
| Small Entity Fee Actually Paid (Fee Code 2551) | \$490 |
| Date Small Entity Fee Was Paid | 03-18-2009 |
| Deficiency To Be Paid Now | \$490 |
| Surcharge To Be Paid Now | \$130 |
| Total Enclosed | \$620 |

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Accordingly, a payment of \$620, representing the deficiency of \$490 and the surcharge of \$130, is submitted herewith via check pursuant to 37 CFR § 1.128(c)(2) and 37 CFR § 1.20(h), respectively. The Director is hereby requested and authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 504473.

Thank you for your kind attention to these matters. If there are any questions, the undersigned may be reached at Tel: 925-932-9229 (PST).

Respectfully submitted,



Wesley Eric Webostad
Attorney for Assignee
Reg. No. 35,406

July 22, 2010
Date

I hereby certify that this correspondence is being filed via U.S. Mail, First Class, with the United States Patent and Trademark Office on 7/22/2010.

By: 
Fall Ferguson

UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

| REQUEST FOR PATENT FEE REFUND | | | | | | | | | | | | |
|--|-----------------------------------|---|-----------------------------|-----------|-----------|---|---|----|---|---|---|---|
| 1 Date of Request: <u>10/05/10</u> | | 2 Serial/Patent # <u>6,979,602</u> | | | | | | | | | | |
| 3 Please refund the following fee(s): | | 4 PAPER NUMBER | 5 DATE FILED | 6 AMOUNT | | | | | | | | |
| | Filing | | | \$ | | | | | | | | |
| | Amendment | | | \$ | | | | | | | | |
| | Extension of Time | | | \$ | | | | | | | | |
| | Notice of Appeal/Appeal | | | \$ | | | | | | | | |
| | Petition | | | \$ | | | | | | | | |
| | Issue | | | \$ | | | | | | | | |
| | Cert of Correction/Terminal Disc. | | | \$ | | | | | | | | |
| | Maintenance | | | \$ | | | | | | | | |
| | Assignment | | | \$ | | | | | | | | |
| X | Other | | 07/30/10 | \$ 130.00 | | | | | | | | |
| | | | 7 TOTAL AMOUNT OF REFUND | | \$ 130.00 | | | | | | | |
| 10 REASON: | | | 8 TO BE REFUNDED BY: | | | | | | | | | |
| X | Overpayment | Treasury Check | | | | | | | | | | |
| | Duplicate Payment | Credit Deposit A/C #: | | | | | | | | | | |
| | No Fee Due (Explanation): | 9 <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">5</td> <td style="width: 20px;">0</td> <td style="width: 20px;">--</td> <td style="width: 20px;">4</td> <td style="width: 20px;">4</td> <td style="width: 20px;">7</td> <td style="width: 20px;">3</td> </tr> </table> | | | | 5 | 0 | -- | 4 | 4 | 7 | 3 |
| 5 | 0 | -- | 4 | 4 | 7 | 3 | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| 11 REFUND REQUESTED BY: | | | | | | | | | | | | |
| TYPED/PRINTED NAME: <u>Cheryl Gibson-Baylor</u> | | TITLE: <u>Paralegal</u> | | | | | | | | | | |
| SIGNATURE: _____ | | PHONE: <u>571-272-3213</u> | | | | | | | | | | |
| OFFICE: <u>Office of Petitions</u> | | | | | | | | | | | | |
| ***** THIS SPACE RESERVED FOR FINANCE USE ONLY: ***** | | | | | | | | | | | | |
| APPROVED: <u></u> | | DATE: <u>10/6/10</u> | | | | | | | | | | |

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

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Refund Branch
Crystal Park One, Room 802B**